



Polynomial Arithmetic

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Overview – Polynomial Arithmetic

- Polynomial Arithmetic
 - Generalities
 - Polynomial Addition
 - Polynomial Multiplication
 - Fast Polynomial Algorithms
 - Polynomial Exponentiation
 - Polynomial Substitution
- Polynomial Greatest Common Divisors

Generalities

Assume R is a ring, $a_0, \dots, a_d \in R$

$$P(X) = a_d X^d + a_{d-1} X^{d-1} + \dots + a_0$$

univariate polynomial in X

a_i : coefficients of $P(X)$

$a_i X^i$: monomials / terms of $P(X)$

R : coefficient domain of $P(X)$

$R[X]$: set of all polynomials in X with coefficients in R

$\deg P(X)$: degree of $P(X)$ ($a_d \neq 0 \Leftrightarrow \deg P(X) = n$)

Generalities

Assume R is a ring, $a_0, \dots, a_d \in R$

$$P(X) = a_d X^d + a_{d-1} X^{d-1} + \dots + a_0$$

univariate polynomial in X

$lc(P(X))$: leading coefficient - $lc(P(X)) = a_d$

$lt(P(X))$: leading term - $lt(P(X)) = lc(P) X^{\deg P}$

if $lc(P(X)) = 1$, we call $P(X)$ a monic polynomial

Generalities

$$P(X_1, \dots, X_n) = a_t X_1^{e_{1t}} X_2^{e_{2t}} \cdots X_n^{e_{nt}} + \dots + a_0 X_1^{e_{10}} X_2^{e_{20}} \cdots X_n^{e_{n0}}$$

multivariate polynomial in X_1, \dots, X_n

$a_i X_1^{e_{1i}} X_2^{e_{2i}} \cdots X_n^{e_{ni}}$: monomials / terms of $P(X)$

$e_{1i} + \dots + e_{ni}$: total degree of a monomial

$\deg P(X_1, \dots, X_n)$: maximum of the monomial's total degrees

$R[X_1, \dots, X_n]$: set of all multivariate polynomials in X_1, \dots, X_n

Generalities

different representations of polynomials

- expanded / recursive representation

$$P_1 = X^2Y^3 + X^2Z + YZ^3 + YZ^2 + Z \quad \in \mathbb{Z}[X, Y, Z]$$

$$P_2 = (Y^3 + Z)X^2 + ((Z^3 + Z^2)Y + Z) \quad \in ((\mathbb{Z}[Z])[Y])[X]$$

- variable sparse / variable dense representation

$$P_3 = X^2Y^3Z^0 + X^2Y^0Z + X^0YZ^3 + X^0YZ^2 + X^0Y^0Z$$

$$P_4 = ((Z^0)Y^3 + (Z)Y^0)X^2 + ((Z^3 + Z^2)Y + (Z)Y^0)X^0$$

- degree sparse / degree dense representation

$$P_5 = (Z^0Y^3 + 0Y^2 + 0Y^1 + (Z^1 + 0Z^0)Y^0)X^2 + 0X^1 +$$

$$((Z^3 + Z^2 + 0Z^1 + 0Z^0)Y + (Z^1 + 0Z^0)Y^0)X^0$$

Polynomial Addition

algorithm for polynomial addition using a recursive, variable sparse, degree sparse representation using linear lists

$$e.g.: \quad F(X) = X^7 + 3X^5 - 13X^2 + 3 \hat{=} (X, (7,1), (5,3), (2,-13), (0,3)) = F$$

some short functions we need:

`lt(P), lc(P), le(P)`

:leading term/coefficient/exponent of P

`empty(list)`

:decide whether the list is empty

`iscoef(P)`

:decide whether P is a coefficient

`var(P), terms(P)`

:main variable of P / list of terms of P

`rest(list)`

:the list without the first element

`@, ` , '`

:concat / create lists

```
PolyCreate (var, terms) := { //creates a new polynomial
  if empty(terms) then 0
  elif ( le(terms) = 0 ) then lc(terms)
  else (var @ terms)
}
```

Polynomial Addition

```
TermsPlus (FTerms, GTerms) := {           //addition of two term-lists
  if empty(FTerms) then GTerms;
  elif empty(GTerms) then FTerms;
  elif le(FTerms) > le(GTerms)
    then (lt(FTerms) @ TermsPlus(rest(FTerms), GTerms));
  elif le(FTerms) < le(GTerms)
    then (lt(GTerms) @ TermsPlus(rest(GTerms), FTerms));
  else {
    tempc := PolyPlus(lc(FTerms), lc(GTerms));
    if (tempc = 0) then TermsPlus(rest(FTerms), rest(GTerms));
    else
      ((le(FTerms), tempc) @
        TermsPlus(rest(FTerms), rest(GTerms)));
  }
}
```


Polynomial Addition

when having different main variables: see one as constant term

$$e.g.: (X + 1) + Y = (X + 1) + YX^0$$

```
PolyPlus (F, G) := {           //addition of two polynomials
  if iscoef(F) then
    if iscoef(G) then F+G
    else PolyCreate(var(G), TermsPlus((0, F), terms(G)));
  elif iscoef(G) then
    PolyCreate(var(F), TermsPlus((0, G), terms(F)));
  elif ( var(F) > var(G) ) then
    PolyCreate(var(F), TermsPlus((0, G), terms(F)));
  elif ( var(F) < var(G) ) then
    PolyCreate(var(G), TermsPlus((0, F), terms(G)));
  else
    PolyCreate(var(F), TermsPlus(terms(F), terms(G)));
}
```

Polynomial Multiplication

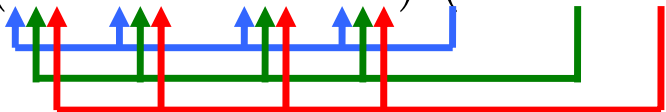
$$F(X) = a_0X^m + \dots + a_m$$

$$G(X) = b_0X^n + \dots + b_n$$

$$H(X) = F(X)G(X)$$

$$\Rightarrow \text{coef}(H, X^{m+n-l}) = a_l b_0 + a_{l-1} b_1 + \dots + a_1 b_{l-1} + a_0 b_l$$

e.g.: $(X^3 - 3X^2 + 2X - 5) \cdot (X^2 + X + 2) = X^5 - 2X^4 + X^3 - 9X^2 - X$



Polynomial Multiplication

```

PolyTimes (F(X), G(X)) := {
  H := ();
  foreach aiXei in F(X)
    foreach bkXfk in G(X)
      coef(H, Xei+fk) := coef(H, Xei+fk) + ai*bk;
  return (H);
}

```

<i>polynomial type</i>	<i>number of terms in H after the ith pass through:</i>	<i>total exponent comparisons</i>
dense	$t + i - 1$	$\sum_{i=2}^t t + i - 1 = t^2 - t + \frac{t(t-1)}{2} \Rightarrow O(t^2)$
sparse	max. $i \cdot t$	$\sum_{i=2}^t i \cdot t = \frac{t^2(t+1)}{2} \Rightarrow O(t^3)$

Fast Polynomial Algorithms

use of efficient data structures

e.g. balanced trees or Hash tables

```
TermsPlus2 (FTerms, GTerms) := {
  HTerms := FTerms;
  foreach (e,c) in GTerms {
    oldc := lookup(HTerms, e);
    if ( oldc = () ) then insert((e,c), HTerms);
    else {
      delete(e, HTerms);
      insert((e,PolyPlus(c, oldc)), HTerms);
    }
  }
  return HTerms;
}
```

TermsPlus using data abstraction operators

Fast Polynomial Algorithms

use of efficient data structures

	max. Comparisons	Comparisons	Arith. Ops.
Linear List	k	$O(n)$	$O(n)$
Balanced tree	$\log(k)$	$O(n \log(n))$	$O(n)$
Hash table	$O(1)$	$O(n)$	$O(n)$

Operation counts for addition of polynomials

	max. Comparisons	Comparisons	Arith. Ops.
Linear List	k	$O(n^3)$	$O(n^2)$
Balanced tree	$\log(k)$	$O(n^2 \log(n))$	$O(n^2)$
Hash table	$O(1)$	$O(n^2)$	$O(n^2)$

Operation counts for multiplication of polynomials

Fast Polynomial Algorithms

Divide and Conquer Algorithm

let F, G are polynomials with degree $n = 2^k$

$$F(X) = f_0(X)X^{2^{k-1}} + f_1(X)$$

$$G(X) = g_0(X)X^{2^{k-1}} + g_1(X)$$

$$\deg f_i, \deg g_i \leq 2^{k-1}$$

$$\begin{aligned} F(X)G(X) &= f_0g_0X^{2^k} + (f_1g_0 + f_0g_1)X^{2^{k-1}} + f_1g_1 = \\ &= f_0g_0X^{2^k} + ((f_1 + f_0)(g_1 + g_0) - f_0g_0 - f_1g_1)X^{2^{k-1}} + f_1g_1 \end{aligned}$$

Fast Polynomial Algorithms

Divide and Conquer Algorithm

$M(n)$: number of coefficient multiplications

$$\Rightarrow M(n) = 3M\left(\frac{n}{2}\right) = 3^2 M\left(\frac{n}{4}\right) = \dots = 3^x M\left(\frac{n}{2^x}\right)$$

$$\text{with } \frac{n}{2^x} = 1 \text{ and } M(1) = 1$$

$$\Rightarrow M(n) = 3^{\log_2 n} = n^{\log_2 3} \approx n^{1.56496}$$

$$\Rightarrow O(n^{1.56496}) \text{ multiplications}$$

Polynomial Exponentiation

repeated multiplication vers. repeated squaring algorithm

$$(F)^n = (((F \cdot F) \cdot F) \cdot \dots) \cdot F$$

$$(F)^n = (((F^2)^2) \dots)^2$$

```
PolyExptRm (P, s) := {  
  Q := 1;  
  loop for 1 ≤ i ≤ s do {  
    Q := P * Q;  
  }  
  return Q;  
}
```

repeated multiplication algorithm

```
PolyExptSq (P, s) := {  
  Q := 1; M := P  
  loop while s > 0 do {  
    if odd(s) then Q := Q * M  
    M := M * M;  
    s := floor(s/2);  
  }  
  return Q;  
}
```

repeated squaring algorithm

Polynomial Exponentiation

repeated multiplication vers. repeated squaring algorithm

with $P_i = (1 + t_1 + t_2 + \dots + t_n)^i$ and $L(P_i)$ = number of terms in P_i

$$\Rightarrow L(P_i) = \binom{n+i}{n} \text{ (proof with induction)}$$

costs of multiplying $P_r P_s$:

$$C_{Sq}(r, s) = L(P_r)L(P_s) = \binom{n+r}{n} \binom{n+s}{n}$$

$$C_{Mul}(r, s) = \sum_{j=r}^{r+s-1} L(P_1)L(P_j) = (n+1) \sum_{j=r}^{r+s-1} \binom{n+j}{n} = (r+s) \binom{n+r+s}{n} - r \binom{n+r}{n}$$

Polynomial Exponentiation

repeated multiplication vers. repeated squaring algorithm

$$\Rightarrow C_{Sq}(r, r) = \binom{n+r}{n}^2 = \dots = \frac{n^{2r}}{(r!)^2} \left(1 + \frac{r(r+1)}{n} \right) + O(n^{2r-2})$$

$$\Rightarrow C_{Mul}(1, 2r-1) = 2r \binom{n+2r}{2r} - n - 1 = \dots = \frac{2r}{(2r)!} n^{2r} \left(1 + \frac{r(2r+1)}{n} \right) + O(n^{2r-2})$$

$$\Rightarrow \frac{C_{Sq}(r, r)}{C_{Mul}(1, 2r-1)} = \dots \geq \frac{1}{2r} \binom{2r}{r} \left(1 - \frac{r^2}{n} \right) + O(n^{-2}) > 1 \quad (r \geq 2, \text{ large values of } n)$$

Polynomial Exponentiation

algorithm based on the binomial theorem

$$\text{binomial formula: } (a + b)^n = a^n + na^{n-1}b + \frac{n(n-1)}{2}a^{n-2}b^2 + \dots + b^n$$

$$\text{let } F(X) = f_d X^d + (f_{d-1} X^{d-1} + \dots + f_0)$$

set $a := f_d X^d$ and $b := (f_{d-1} X^{d-1} + \dots + f_0)$ to receive a fast algorithm

$$(f_d X^d + f_{d-1} X^{d-1} + \dots + f_0)^n = f_d^n X^{d \cdot n} + n(f_d X^d)^{n-1} (f_{d-1} X^{d-1} + \dots + f_0) + \dots$$

Polynomial Substitution

let $F(X) \in R[X]$, $G \in R$ -module (could also be $\in R[X]$)

$$\Rightarrow F(X) = f_d X^d + f_{d-1} X^{d-1} + \dots + f_0$$

$$F(G) = \left(\left(\left(f_d \cdot G + f_{d-1} \right) \cdot G + f_{d-2} \right) \cdot G + \dots + f_1 \right) \cdot G + f_0$$

(Horner's rule)

```
TermsHorner (FTerms, G) := {
  H := 0;
  f := le(FTerms);
  foreach (e,c) in FTerms {
    H := Gf-e * H + c;
    f := e;
  }
  return H * Ge;
}
```

Overview – Polynomial GCD's

- Polynomial Arithmetic
- Polynomial Greatest Common Divisors
 - Generalities
 - GCD of Several Quantities
 - Polynomial Contents
 - Coefficient Growth
 - Pseudo-Quotients
 - Subresultant Polynomial Remainder Sequence

Generalities

integral domain: commutative ring with $0 \neq 1$ and no *zero divisors*

with $f, g \in R$ integral domain

if $\exists a, h \in R$ with $f = a \cdot h \Rightarrow a, h$ *divisors*

if $\exists a, b, h \in R$ with $f = a \cdot h, g = b \cdot h \Rightarrow h$ *common divisor of f, g*

$\gcd(f, g)$: greatest common divisor

$\text{lcm}(f, g) := \frac{f \cdot g}{\gcd(f, g)}$ least common multiple

$$\gcd(f_1, f_2, \dots, f_n) = \gcd(f_1, \gcd(f_2, \dots, f_n)) = \gcd((f_1, \dots, f_{n-1}) f_n)$$

Generalities

assume $F, G \in R[X_1, \dots, X_n]$, R integral domain

$H_j :=$ common divisor (F, G) with maximal degree in X_j

$\Rightarrow \text{lcm}(H_i, H_j)$ is common divisor with maximal degree in X_i and X_j

$\Rightarrow \exists$ common divisors (F, G) with maximal degree in all X_i

these polynomials we call $\text{gcd}(F, G)$ up to elements of R

GCD of several Quantities

$F_i \in R[X_1, \dots, X_n]$, R integral domain, $\mathcal{F} = \{F_1, \dots, F_l\}$, $H = \gcd(F_1, \dots, F_l)$

$\gcd(F_1, \gcd(F_2, \dots, F_l)) \Rightarrow l-1$ GCD calculations

more efficient algorithm:

- 1) $F = F_1 + k_3 F_3 + k_5 F_5 + \dots$, $G = F_2 + k_2 F_2 + k_4 F_4 + \dots$, (k_i chosen randomly)
- 2) $H' := \gcd(F, G)$
- 3) remove all elements $F_i \in \mathcal{F}$ with $H' \mid F_i$, add H' to \mathcal{F}
- 4) repeat choosing new k_i until $|\mathcal{F}| = 1$

GCD of several Quantities

$\text{res}_x(F(X), G(X))$: resultant of F and G

$\text{res}_x(F(X), G(X)) = 0 \Leftrightarrow F, G$ have a common factor

with $G(k) = \text{res}_x(F_1, F_2 + kF_3)$ we get:

$\text{gcd}(F_1, F_2, F_3) \neq \text{gcd}(F_1, F_2 + kF_3) \Leftrightarrow k$ is zeroes of $G(k)$
 \Rightarrow expected *GCD calculations* tends to 1

Polynomial Contents

$$F(X) = f_0 X^n + f_1 X^{n-1} + \dots + f_n, f_0 \neq 0$$

$$\text{cont } F := \gcd(f_0, \dots, f_n) \quad \text{"content of } F \text{"}$$

$\text{cont } F = 1 \Leftrightarrow$ "primitive polynomial" / "trivial content"

$$\text{prim } F := \frac{F(X)}{\text{cont } F} \quad \text{"primitive part of } F \text{"}$$

$\text{cont}_R F := \gcd(\text{coefficients of monomials of } F)$ "scalar content"

Polynomial Contents

Proposition (Gauss): If F and G are primitive polynomials over an entire ring R then FG is also primitive.

“Dealing with polynomials over an integral domain the primitive part of a reducible polynomial is still reducible”

Proof: Let $F(X) = f_0X^m + f_1X^{m-1} + \dots + f_m$
 $G(X) = g_0X^n + g_1X^{n-1} + \dots + g_n$
and $p \in R$ prime, $p \nmid \text{cont } FG$

→ ...

Polynomial Contents

Proposition (Gauss): If F and G are primitive polynomials over an entire ring R then FG is also primitive.

→ ...

the images of F and G in $R_{/(p)}[X]$ are both non zero, since F and G are primitive:

$$\begin{aligned}\hat{F}(X) &= \hat{f}_{m-r}X^r + \hat{f}_{m-r-1}X^{r-1} + \dots + \hat{f}_m \\ \hat{G}(X) &= \hat{g}_{n-s}X^s + \hat{g}_{n-s-1}X^{s-1} + \dots + \hat{g}_n\end{aligned}\quad \text{with } \hat{f}_{m-r}, \hat{g}_{n-s} \neq 0$$

but $\hat{f}_{m-r} \cdot \hat{g}_{n-s} = 0$ since $p / \text{cont } FG$

\Rightarrow contradiction (R is integral domain!)

□

Polynomial Contents

Assume $F, G \in R[X_1, \dots, X_n]$, $H := \gcd(F, G)$

if X_i only occurs in G , not in F then H not involves X_i

$$\Rightarrow H = \gcd(F, G) = \gcd\left(F, \text{coef}(G, X_i^0), \text{coef}(G, X_i^1), \text{coef}(G, X_i^2), \dots\right)$$

\Rightarrow we can remove all variables that do not occur in every polynomial

$$\text{cont } H = \gcd(\text{cont } F, \text{cont } G) = \gcd(f_0, \dots, f_m, g_0, \dots, g_m)$$

$$\Rightarrow \gcd\left(\frac{F}{\text{cont } H}, \frac{G}{\text{cont } H}\right) \text{ is primitive (although if } F, G \text{ are not primitive)}$$

Coefficient Growth

Let $F_1(X), F_2(X)$ be polynomials over a field

$$F_1(X) = \underbrace{q_1(X)}_{\text{quotient}} \cdot F_2(X) + \underbrace{F_3(X)}_{\text{remainder}} \quad \deg(F_3) < \deg(F_2)$$

$$F_2(X) = q_2(X) \cdot F_3(X) + F_4(X)$$

⋮

$$F_{n-3}(X) = q_{n-3}(X) \cdot F_{n-2}(X) + F_{n-1}(X)$$

$$F_{n-2}(X) = q_{n-2}(X) \cdot F_{n-1}(X) + F_n(X)$$

$$\exists n \in \mathbb{N} : F_{n+1} = 0 \quad \Rightarrow \quad F_n = \gcd(F_1, F_2)$$

Coefficient Growth

example 1

$$F_1 = X^8 + X^6 - 3X^4 - 3X^3 + 8X^2 + 2X - 5$$

$$F_2 = 3X^6 + 5X^4 - 4X^2 - 9X + 21$$

$$F_3 = -\frac{5}{9}X^4 + \frac{1}{9}X^2 - \frac{1}{3}$$

$$F_4 = -\frac{117}{25}X^2 - 9X + \frac{441}{25}$$

$$F_5 = \frac{233150}{19773}X - \frac{102500}{6591}$$

$$F_6 = -\frac{1288744821}{543589225}$$

Coefficient Growth

example 2

$$F_1 = X^4 + X^3 - W$$

$$F_2 = X^3 + 2X^2 + 3WX + 1$$

$$F_3 = (-3W + 2)X^2 + (4W - 1)X - 2W + 1$$

$$F_4 = \frac{27W^3 - 2W^2 - 11W + 3}{9W^2 - 12W + 4}X + \frac{9W^3 - W^2 + 4W + 1}{9W^2 - 12W + 4}$$

$$F_5 = \frac{-729W^7 - 738W^6 - 474W^5 + 725W^4 + 81W^3 - 162W^2 + 68W - 8}{729W^6 - 108W^5 - 590W^4 + 206W^3 + 109W^2 - 66W + 9}$$

Pseudo-Quotients

Euclidean GCD algorithm

Let $F_1(X), F_2(X)$ be polynomials over a ring R , $f_i = \text{lc}(F_i(X))$,
 $\delta_i = \deg(F_i) - \deg(F_{i+1})$

$$f_2^{\delta_1+1} F_1(X) = Q(X) \cdot F_2(X) + R(X) \quad \deg(R) < \deg(F_2)$$

$\Rightarrow R(X)$ always has integral coefficients

Pseudo-Quotients

example 1

$$F_1 = X^8 + X^6 - 3X^4 - 3X^3 + 8X^2 + 2X - 5$$

$$F_2 = 3X^6 + 5X^4 - 4X^2 - 9X + 21$$

$$F_3 = -15X^4 + 3X^2 - 9$$

$$F_4 = 15795X^2 + 30375X - 59535$$

$$F_5 = 1254542875143750X - 1654608338437500$$

$$F_6 = 12593338795500743100931141992187500$$

Euclidean PRS

$$F_1 = X^8 + X^6 - 3X^4 - 3X^3 + 8X^2 + 2X - 5$$

$$F_2 = 3X^6 + 5X^4 - 4X^2 - 9X + 21$$

$$F_3 = -5X^4 + X^2 - 3$$

$$F_4 = 13X^2 + 25X - 49$$

$$F_5 = 4663X - 6150$$

$$F_6 = 1$$

Primitive PRS (in each step division by $\text{cont}(F_i)$)

Pseudo-Quotients

polynomial remainder sequences

A sequence of polynomials $F_1, F_2, \dots, F_n \in R[X]$ that satisfies

$$\beta_i F_i(X) = \alpha_i F_{i-2}(X) - F_{i-1}(X) \quad \deg(F_i) < \deg(F_{i-1})$$

where $\alpha_i, \beta_i \in R$, $q(X)$ is a polynomial over R , is called a “*polynomial remainder sequence*” generated from F_1 and F_2 .

with $f_i = \text{lc}(F_i(X))$, $\delta_i = \deg(F_i) - \deg(F_{i+1})$ we declare $\alpha_i = f_{i-1}^{\delta_{i-2}+1}$ so that $\beta_i F_i = \text{prem}(F_{i-1}, F_{i-2})$. ($1 \leq \beta_i \leq \text{cont}(\text{prem}(F_{i-1}, F_{i-2}))$)

Subresultant Poly. Remainder Seq.

according to Collins and Brown

We choose the β_i using following equalities

$$f_i = \text{lc}(F_i(X)), \quad \delta_i = \deg(F_i) - \deg(F_{i+1}), \quad \alpha_i = f_{i-1}^{\delta_{i-2}+1}$$

$$h_2 = f_2^{\delta_1}, \quad h_i = f_i^{\delta_{i-1}} h_{i-1}^{1-\delta_{i-1}} \quad (i = 3, \dots, k)$$
$$\beta_3 = (-1)^{\delta_1+1}, \quad \beta_i = (-1)^{\delta_{i-2}+1} f_{i-2} h_{i-2}^{\delta_{i-2}} \quad (i = 4, \dots, k+1)$$

to get the best GCD algorithm that uses a full polynomial remainder sequence

Subresultant Poly. Remainder Seq.

example 1

$$F_1 = X^8 + X^6 - 3X^4 - 3X^3 + 8X^2 + 2X - 5$$

$$F_2 = 3X^6 + 5X^4 - 4X^2 - 9X + 21$$

$$F_3 = 15X^4 - 3X^2 - 9$$

$$F_4 = 65X^2 + 125X - 245$$

$$F_5 = 9326X - 12300$$

$$F_6 = 260708$$

Polynomial Arithmetic

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Thanks for listening

